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I hereby certify that this document is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EL387336349US, in an envelope addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: 6-21-00

Signature:

Lana T. Brenner

Docket No. SCHW-800-(US)

(PATENT)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Christopher Kershaw, et al.

Serial No.: New patent application

Group Art Unit: Not Yet Assigned

Filed: Herewith

Examiner: Not Yet Assigned

For: SYSTEM AND METHOD FOR COMPARING  
ATTRIBUTES OF DOCUMENTS



## TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

1. Utility Application;
2. Transmittal-New Utility Patent Application;
3. Assignment by Inventor;
4. Recordation Form Cover Sheet;
5. Transmittal Letter; and
6. Two (2) checks totaling the amount of \$808.

Two (2) checks totaling the amount of \$808.00 covering the required fees for filing the application with additional claims (\$768.00) and recordation of the assignment document (\$40.00) are enclosed.

+

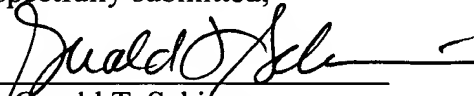
06/21/00 09/59889 U.S. PRO

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 12-1420. A duplicate copy of this paper is enclosed..

Dated: June 21, 2000

Respectfully submitted,

By

  
Mr. Gerald T. Sekimura

Registration No.: 30,103

LIMBACH & LIMBACH L.L.P.

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Attorneys for Applicant

**NEW UTILITY PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
SCHW-800-(US)

Total pages in this submission

**TO THE ASSISTANT COMMISSIONER FOR PATENTS****Box Patent Application  
Washington, DC 20231**

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 CFR 1.53(b) is a new utility patent application for an invention entitled:

SYSTEM AND METHOD FOR COMPARING ATTRIBUTES OF DOCUMENTS

and invented by:

Christopher Kershaw, et al.

**IF A CONTINUATION APPLICATION**, check appropriate box and supply requisite information below and in a preliminary amendment:☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

**Enclosed are:****Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below.
2. ☒ Specification having 14 pages(s) and including the following:
  - a. ☒ Descriptive title of the invention
  - b. ☐ Cross references to related applications (*if applicable*)
  - c. ☐ Statement regarding Federally-sponsored research/development (*if applicable*)
  - d. ☐ Reference to microfiche appendix (*if applicable*)
  - e. ☒ Background of the invention
  - f. ☒ Brief summary of the invention
  - g. ☒ Brief description of the drawings (*if drawings filed*)
  - h. ☒ Detailed description
  - i. ☒ Claims as classified below
  - j. ☒ Abstract of the disclosure

Jc498 U.S. PTO  
09/598889

06/21/00

**Application Elements (continued)**

3. ☒ Drawing(s) (when necessary as prescribed by 35 U.S.C. 113)  
☐ Formal ☒ Informal Number of sheets: 7
4. ☒ Oath or Declaration  
a. ☒ Newly executed (original or copy) ☐ Unexecuted  
b. ☐ Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional applications only)  
c. ☐ With Power of Attorney ☒ Without Power of Attorney
5. ☐ Incorporation by reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer program in microfiche
7. ☐ Genetic sequence submission (if applicable, all must be included)  
a. ☐ Paper copy  
b. ☐ Computer-readable copy  
c. ☐ Statement verifying identical paper and computer readable copies
8. ☒ Assignment

**Accompanying Application**

9. ☒ Assignment papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) statement (when there is an assignee)
11. ☐ English translation document (if applicable)
12. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS citations
13. ☐ Preliminary Amendment
14. ☒ Acknowledgment postcard
15. ☐ Certified copy of priority document(s) (if foreign priority is claimed)
16. ☐ Certificate of Mailing  
☐ First Class ☒ Express Mail (Label No.: EL387336349US )
17. ☐ Small Entity statement(s) -- # submitted \_\_\_\_\_ (if Small Entity status claimed)

**Accompanying Application (continued)**

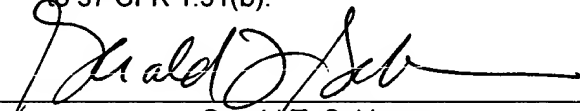
- 18.
- ☐
- Additional enclosures (please identify below):

**Fee Calculation and Transmittal**

The filing fee for this utility patent application is calculated and transmitted as follows:

☒ Large Entity ☐ Small Entity

<b>CLAIMS AS FILED</b>					
For	# Filed	# Allowed	# Extra	Rate	Fee
<b>Total Claims</b>	15	- 20 =		x \$18.00	
<b>Independent Claims</b>	4	- 3 =	1	x \$78.00	\$78.00
<b>Multiple Dependent Claims (check if applicable)</b> <input type="checkbox"/>					
<b>Other Fees (specify purpose):</b>					
<b>BASIC FEE</b>					\$690.00
<b>TOTAL FILING FEE</b>					\$768.00

☒ A check in the amount of \$768.00 to cover the total filing fee is enclosed.☒ The Commissioner is hereby authorized to charge and Deposit Account No. 12-1420 as described below. A duplicate copy of this sheet is enclosed.☐ Charge the amount of \_\_\_\_\_ as filing fee.☒ Credit any overpayment.☒ Charge any additional filing fees required under 37 CFR 1.16 and 1.17.☐ Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance, pursuant to 37 CFR 1.31(b).

  
 Gerald T. Sekimura
Dated: June 21, 2000
 Attorney Reg. No.: 30,103  
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 San Francisco, CA 94111  
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